



Form 1449 (Modified) Information Disclosure Statement By Applicant (Use Several Sheets if Necessary)	Atty Docket No. PLUSP038	Application No.: 10/825,587
	Applicant: Visco, et al.	
	Filing Date April 14, 2004	Group 1745

U.S. Patent Documents

Examiner Initial	No.	Patent No.	Date	Patentee	Class	Sub-class	Filing Date
BC	A1	2002/0034688	03.21.02	Chu et al.			
BC	A2	5,789,108	08.1998	Chu			
BC	A3	6,068,950	05.30.00	Gan et al.			
BC	A4	6,274,269 B1	08.14.01	Gan et al.			
BC	A5	6,203,942 B1	03.20.01	Gan et al.			
BC	A6	6,489,055 B1	12.03.02	Ichihashi et al.			
BC	A7	6,511,772 B2	01.30.03	Gan et al.			
BC	A8	6,096,447	08.01.00	Gan et al.			
BC	A9	6,200,701 B1	03.13.01	Gan et al.			
BC	A10	6,495,285 B2	12.17.02	Gan et al.			
BC	A11	6,537,698 B2	03.25.03	Gan et al.			
BC	A12	4,402,995	09.1983	Fleischer, Niles A.			
BC	A13	4,405,416	09.1983	Raistrick et al.			
	A14	5,314,765	05.1994	Bates, John B.			
BC	A15	6,025,094	02.2000	Visco et al.			
BC	A16	6,280,598	08.2001	Barton et al.			
	A17	6,413,284	07.2002	Chu et al.			
BC	A18	6,737,197	05.2004	Chu et al.			
BC	A19	3,607,417	09.1971	McRae et al.			
BC	A20	2004/0197641	10.2004	Visco et al.			
BC	A21	2005/0100793	05.2005	Jonghe et al.			
BC	A22	2006/0078790	04.2006	Nimon et al.			

Foreign Patent or Published Foreign Patent Application

Examiner Initial	No.	Document No.	Publication Date	Country or Patent Office	Class	Sub-class	Translation	
							Yes	No
BC	B1	WO 02/50933 A2	27.06.2002	PCT				
BC	B2	WO 02/50933 A3	27.06.2002	PCT				

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Examiner Initial	No.	Author, Title, Date, Place (e.g. Journal) of Publication
BC	C1	Visco, et al., "Ionically Conductive Composites for Protection of Active Metal Anodes," PolyPlus Battery Company, Appln. No. 10/686,189, filed October 14, 2003, pages 1-48 [PLUSP027].
Examiner	Date Considered	
<i>A. Cant</i>	<i>6/18/07</i>	

Examiner: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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DL	A24	5,523,179	06.04.96	Chu			
DL	A25	5,582,623	12.10.96	Chu			
DL	A26	6,248,481	11.11.97	De Jonghe et al.			
DL	A27	5,882,812	03.16.99	De Jonghe et al.			
DL	A28	5,516,598	05.14.96	Chu et al.			
DL	A29	6,358,643	03.19.02	Katz et al.			
DL	A30	5,814,420	09.29.98	Chu			
DL	A31	4,917,974	04.17.90	Visco et al.			
DL	A32	4,833,048	05.23.89	De Jonghe et al.			
DL	A33	5,162,175	11.10.92	De Jonghe et al.			
DL	A34	6,723,140	04.20.04	Chu et al.			
DL	A35	6,198,701	03.06.01	De Jonghe et al.			
DL	A36	6,165,644	12.26.00	Chu et al.			
DL	A37	6,017,651	01.25.00	Chu et al.			
DL	A38	6,537,701	03.25.03	Chu et al.			
DL	A39	6,955,866	10.18.05	Nimon et al.			
DL	A40	6,200,704	03.13.01	De Jonghe et al.			
DL	A41	6,210,832	04.03.01	Chu et al.			
DL	A42	6,110,236	08.29.00	Chu et al.			
DL	A43	6,225,002	05.01.01	Chu et al.			
DL	A44	6,413,285	07.02.02	De Jonghe et al.			
DL	A45	6,632,573	10.14.03	Nimon et al.			
DL	A46	7,070,632	07.04.06	Visco et al.			
DL	A47	6,991,662	01.31.06	Visco et al.			

Foreign Patent or Published Foreign Patent Application

Examiner Initial	No.	Document No.	Publication Date	Country or Patent Office	Class	Sub-class	Translation	
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M. C. G.				6/18/07				

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Examiner Initial	No.	Author, Title, Date, Place (e.g. Journal) of Publication
js	C2	International Search Report dated October 18, 2005 from related International Application No. PCT/US2004/033372. [PLUSP039]
	C3	U.S. Office Action mailed June 16, 2006, from U.S. Application No. 11/092,781. [PLUSP026C1]
	C4	De Jonghe, et al., "Chemical Protection of a Lithium Surface," PolyPlus Battery Company, Appln. No. 11/092,781, filed March 28, 2005, pages 1-34 [PLUSP026C1].
	C5	U.S. Office Action mailed September 7, 2006, from U.S. Application No. 10/824,944. [PLUSP040]
	C6	Visco et al., "Protected Active Metal Electrode and Battery Cell Structures with Non-Aqueous Interlayer Architecture," PolyPlus Battery Company, Appln No. 10/824,944, filed April 14, 2004, pages 1-46. [PLUSP040]
	C7	Visco, et al., "Active Metal/Aqueous Electrochemical Cells and Systems," PolyPlus Battery Company, Appln. No. 10/772,157, filed February 3, 2004, pages 1-89 [PLUSP036].
	C8	Visco et al., "Ionically Conductive Membranes for Protection of Active Metal Anodes and Battery Cells," PolyPlus Battery Company, Appln No. 10/772,228, filed February 3, 2004, pages 1-50. [PLUSP039]
↓	C9	Visco, et al., "Ionically Conductive Composites for Protection of Active Metal Anodes," PolyPlus Battery Company, Appln. No. 10/731,771, filed December 5, 2003, pages 1-43 [PLUSP027X1].
yk	C10	Jonghe, et al., "Active Metal Electrolyzer," PolyPlus Battery Company, Appln. No. 10/986,441, filed November 10, 2004, pages 1-39 [PLUSP042].
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BC	A1	6,911,280 B1	06.28.05	De Jonghe et al.			
BC	A2	6,030,720	02.2000	Chu et al.			

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Examiner Initial	No.	Document No.	Publication Date	Country or Patent Office	Class	Sub- class	Translation	
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Other Documents

Examiner Initial	No.	Author, Title, Date, Place (e.g. Journal) of Publication
BC	C1	International Search Report dated March 6, 2006 from International Application No. PCT/US2004/033424. (PLUSP036WO)
BC	C2	West, et al., "Chemical stability enhancement of lithium conducting solid electrolyte plates using sputtered LiPON thin films," Journal of Power Sources, Volume 126, Issues 1-2, Pages 1-272 (16 February 2004)
Examiner <i>M. G. [Signature]</i>		Date Considered <i>6/18/07</i>

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	A1	3,703,415	11.21.72	Mitoff et al.			
MC	A2	2005/0175894 A1	08.11.05	Visco et al.			
MC	A3	2005/0208353 A1	09.22.05	Johnson			
MC	A4	6,358,651 B1	03.19.02	Chen et al.			
MC	A5	6,391,492 B1	05.21.02	Kawakami et al.			
MC	A6	4,414,293	11.08.83	Joy et al.			
MC	A7	5,166,011	11.24.92	Rao et al.			
MC	A8	6,228,527 B1	05.08.01	Medeiros et al.			
MC	A9	2001/0041294 A1	11.15.01	Chu et al.			

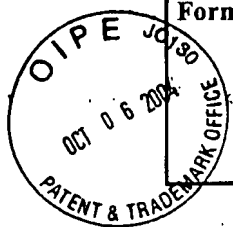
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							Yes	No
MC	B1	EP 0 838 441 A2	29.04.1998	European				
↓	B2	WO 2005/038962 A2	28.04.2005	PCT				
MC	B3	WO 2005/038953 A2	28.04.2005	PCT				

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Examiner Initial	No.	Author, Title, Date, Place (e.g. Journal) of Publication
MC	C1	International Search Report dated January 1, 2006 from International Application No. PCT/US2004/033424.
MC	C2	Galbraith, A.D., "The lithium-water-air battery for automotive propulsion", XP002355800, retrieved from STN Database accession no. 1979:106901 abstract and SYMP. INT. VEH. ELECTR., RAPP, 4 th Volume 1, Paper 32.4, 23 pp., Publisher: Electr. Veh. Council, New York, N.Y. Coden: 39UPAD, 1976.
Examiner <i>J. Can</i>		Date Considered <i>6/14/07</i>

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Examiner Initial	No.	Patent No.	Date	Patentee	Class	Sub-class	Filing Date
DC	A1	5,648,187	07/15/97	Skotheim			
	A2	5,314,765	05/24/94	Bates			
	A3	4,981,672	01/01/91	De Neufville et al.			
	A4	6,025,094	02/2000	Visco, et al.			
	A5	5,342,710	08/30/94	Koksbang			
	A6	5,409,786	04/25/95	Bailey			
	A7	5,100,523	03/31/92	Helms et al.			
	A8	5,696,201	12/09/97	Cavalloni, et al.			
	A9	4,162,202	07/24/79	Dey			
	A10	5,455,126	10/03/95	Bates et al.			
	A11	5,338,625	08/16/94	Bates et al.			
	A12	5,597,660	01/28/97	Bates et al.			
	A13	5,612,152	03/18/97	Bates			
	A14	5,569,520	10/29/96	Bates			
	A15	5,512,147	04/30/96	Bates et al.			
	A16	5,567,210	10/22/96	Bates et al.			
	A17	5,455,126	10/03/95	Bates et al.			
	A18	6,475,677 B1	11/05/02	Inda et al.			
	A19	6,485,622 B1	11/26/02	Fu			
	A20	6,315,881 B1	11/13/01	Fu			
	A21	6,030,909	02/29/00	Fu			
	A22	5,702,995	12/30/97	Fu			
	A23	4,985,317	01/15/91	Adachi et al.			
	A24	6,402,795 B1	06/11/02	Chu et al.			
	A25	6,214,061 B1	04/10/01	Visco et al.			
	A26	6,413,284 B1	07/02/02	Chu et al.			
	A27	5,686,201	11/11/97	Chu			
	A28	6,376,123	04/23/02	Chu			
	A29	6,413,285 B1	07/02/02	Chu et al.			
	A30	6,183,901 B1	02/06/01	Ying et al.			
	A31	6,432,584 B1	08/13/02	Visco et al.			
	A32	5,961,672	10/05/99	Skotheim et al.			
	A33	5,387,479	02/07/95	Koksbang			
	A34	5,336,384	08/09/94	Tsou et al.			
	A35	3,976,509	08/24/76	Tsai et al.			
	A36	4,007,057	02/08/77	Littauer et al.			

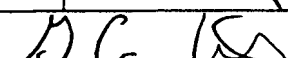
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							Yes	No
SC	B1	0875951A1	11/04/98	EP				
	B2	0689260B1	04/21/99	EP				
	B3	0111214A2	11/23/83	EP				
	B4	0111213B1	11/23/83	EP				
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SC	C1	Nippon Telegr & Teleph Corp., "Patent Abstracts of Japan," vol. 008, no. 119 (E-248), June 5, 1984 & JP 59 031573 A, 20 February 1984.
	C2	Anders et al., "Plasma is Produced Simply", R&D Research & Development, R&D Magazine, Vol. 39, No. 10, September 1997, www.rdmag.com , p. 65.
	C3	Steven D. Jones, et al., "Thin film rechargeable Li batteries", 1994, <u>Solid State Ionics</u>
	C4	J.B. Bates, et al., "Thin-film rechargeable lithium batteries," 1995, <u>Journal of Power Sources</u>
	C5	N. J. Dudney, et al., "Sputtering of lithium compounds for preparation of electrolyte thin films," 1992, <u>Solid State Ionics</u>
	C6	J. B. Bates, et al., "Electrical properties of amorphous lithium electrolyte thin films," 1992, <u>Solid State Ionics</u>
	C7	Xiaohua Yu, et al, "A Stable Thin-Film Lithium Electrolyte: Lithium Phosphorus Oxynitride," 02-97, <u>J. Electrochem. Soc.</u> , Vol 144, No. 2
	C8	Fu, Jie, "Fast Li ⁺ Ion Conduction in Li ₂ O-Al ₂ O ₃ -TiO ₂ -SiO ₂ -P ₂ O ₅ Glass-Ceramics", Journal of the American Ceramics Society, Vol. 80, No. 7, July 1997, pp. 1-5.
	C9	Aono et al., "Ionic Conductivity of the Lithium Titanium Phosphate (Li _{1-x} M _x Ti _{2-x} (PO ₄) ₃ , M = Al, Sc, Y, and La) Systems", Dept. of Industrial Chemistry, pp. 590-591.
	C10	Aono, Hiromichi, "High Li ⁺ Conducting Ceramics", Acc. Chem. Res. Vol. 27, No. 9, 1994, pp. 265-270.
	C11	Aono, et al., "Ionic Conductivity and Sinterability of Lithium Titanium Phosphate System", Solid State Ionics, 40/41 (1990), pp. 38-42.
SC	C12	Aono, et al., "Electrical properties and crystal structure of solid electrolyte based on lithium hafnium phosphate LiHf ₂ (PO ₄) ₃ ", Solid State Ionics 62 (1993), pp. 309-316.
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U.S. Patent Documents

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PL	A40	6,146,787	11/14/00	Harrup et al.			
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	C14	Aono, et al., "Ionic Conductivity of $\beta\text{-Fe}_2(\text{SO}_4)_3$ Type $\text{Li}_3\text{Cr}_2(\text{PO}_4)_3$ Based Electrolyte", Chemistry Letters, 1993, pp. 2033-2036.
	C15	Aono, et al., "Ionic Conductivity of $\text{LiTi}_2(\text{PO}_4)_3$ Mixed with Lithium Salts", Chemistry Letters, 1990, pp. 331-334.
	C16	Fu, Jie, "Superionic conductivity of glass-ceramics in the system $\text{Li}_2\text{O}-\text{Al}_2\text{O}_3-\text{TiO}_2-\text{P}_2\text{O}_5$ ", Solid State Ionics, 96 (1997), pp.195-200.
	C17	Fu, Jie, "Fast Li^+ ion conducting glass-ceramics in the system $\text{Li}_2\text{O}-\text{Al}_2\text{O}_3-\text{GeO}_2-\text{P}_2\text{O}_5$ " Solid State Ionics 104 (1997), pp. 191-194.
	C18	Aono, et al., "DC Conductivity of $\text{Li}_{1.3}\text{Al}_{0.3}\text{Ti}_{1.7}(\text{PO}_4)_3$ " Ceramic with Li Electrodes", Chemistry Letters, 1991, pp. 1567-1570.
	C19	Aono, et al., "Electrical Properties of Sintered Lithium Titanium Phosphate Ceramics ($\text{Li}_{1+x}\text{M}_x\text{Ti}_{2-x}\text{PO}_4$), $\text{M}^{3+}=\text{Al}^{3+}, \text{Sc}^{3+}$, or Y^{3+} ", Chemistry Letters, 1990, pp. 1825-1828.
	C20	Button, et al., "Structural disorder and enhanced ion transport in amorphous conductors", Solid State Ionics, Vols. 9-10, Part 1, December 1983, pp. 585-592 (abstract)
	C21	Shuster, Nicholas, "Lithium Water Power Source for Low Power - Long Duration Undersea Applications", Westinghouse Electric Corporation, 1990 IEEE, pp. 118-123.
PL	C22	VanVoorhis, et al., "Evaluation of Air Cathodes for Lithium/Air Batteries", Electrochemical Society Proceedings Volume 98-16, 1999, pp. 383-390.
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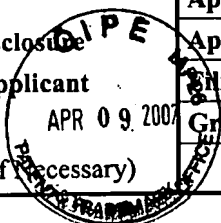
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	C24	J. Read, "Characterization of the Lithium/Oxygen Organic Electrolyte Battery", Journal of The Electrochemical Society, 149 (9) (2002), pp. A1190-A1195.
	C25	Abraham et al., "A Polymer Electrolyte-Based Rechargeable Lithium/Oxygen Battery", Technical Papers, Electrochemical Science and Technology, J. Electrochem. Soc., Vol. 143, No. 1, January 1996, pp. 1-5.
	C26	Kessler, et al., "Large Microsheet Glass for 40-in. Class PALC Displays", 1997, FMC2-3, pp. 61-63.
	C27	Feng et al., "Electrochemical behavior of intermetallic-based metal hydrides used in Ni/metal hydride (MH) batteries: a review", International Journal of Hydrogen Energy, 26 (2001), pp. 725-734.
	C28	Iwakura et al., "All solid-state nickel/metal hydride battery with a proton-conductive phosphoric acid-doped silica gel electrolyte", Electrochimica Acta 48 (2003), pp. 1499-1503.
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	C30	Zhang et al., "Electrochemical Lithium Intercalation in VO ₂ (B) in Aqueous Electrolytes", J. Electrochem. Soc., Vol. 143, No. 9, September 1996, pp. 2730-2735.
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	C32	Urquidi-Mcdonald, et al., "Lithium/poly(organophosphazene) membrane anodes in KOH and seawater", Electrochimica Acta 47, (2002), pp. 2495-2503.
↓	C33	Nimon et al., "Stability of Lithium Electrode in Contact with Glass Electrolytes", SSI-14, June 22-27, 2003, Monterey, CA. (conference poster).
GC	C34	Nimon et al., "Stability of Lithium Electrode in Contact with Glass Electrolytes", SSI-14 Conference, Monterey, CA., June 22, 2003, Abstract of Poster.
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DC	A.	4,091,182	05/23/78	Farrington et al.			11/19/76
DC	B.	4,210,707	07/01/80	Farrington			07/16/79
DC	C.	3,912,536	10/14/75	Galli et al.			01/07/74
DC	D.	3,703,415	11/21/72	Mitoff et al.			06/01/71
DC	E.	6,797,428	09/28/04	Skotheim et al.			11/21/00

Foreign Patent or Published Foreign Patent Application

Examiner Initial		Document No.	Publication Date	Country or Patent Office	Class	Sub-Class	Translation	
							Yes	No
	F.							

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DC	G.	U.S. patent application No. 10/731,771, Office Action mailed March 16, 2007 (Dkt. PLUSP027X1)
	H.	
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U.S. Patent Documents

Examiner Initial	No.	Patent No.	Date	Patentee	Class	Sub- class	Filing Date
<i>AC</i>	A1	5,506,068	04.09.96	Dan et al.			

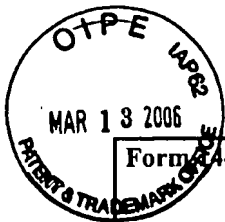
Foreign Patent or Published Foreign Patent Application

Examiner Initial	No.	Document No.	Publication Date	Country or Patent Office	Class	Sub- class	Translation	
							Yes	No
<i>AC</i>	B1	EP 1 162 675 A2	12.12.2001	European				

Other Documents

Examiner Initial	No.	Author, Title, Date, Place (e.g. Journal) of Publication
<i>AC</i>	C1	International Search Report dated March 6, 2006 from International Application No. PCT/US2004/033371. (PLUSP04QWO)
Examiner	<i>G. Cantu</i>	
	Date Considered	<i>6/18/07</i>

Examiner: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



Form 1449 (Modified)	Atty Docket No. PLUSP038	Application No.: 10/825,587
Information Disclosure Statement By Applicant	Applicant: Visco, et al.	
(Use Several Sheets if Necessary)	Filing Date April 14, 2004	Group 1745

U.S. Patent Documents

Examiner Initial	No.	Patent No.	Date	Patentee	Class	Sub- class	Filing Date

Foreign Patent or Published Foreign Patent Application

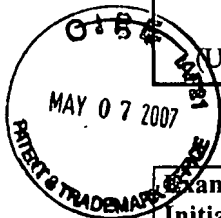
Examiner Initial	No.	Document No.	Publication Date	Country or Patent Office	Class	Sub- class	Translation	
							Yes	No

Other Documents

Examiner Initial	No.	Author, Title, Date, Place (e.g. Journal) of Publication
GC	C1	Will, et al., "Primary Sodium Batteries with Beta-Alumina Solid Electrolyte", J. Electrochemical Science and Technology, April 1975, Vol. 122, No. 4, pages 457-461.
Examiner	G. Cantor	
	Date Considered 6/18/07	

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Form 1449 (Modified) Information Disclosure Statement By Applicant (Use Several Sheets if Necessary)	Atty Docket No.	PLUSP038
	Application No.:	10/825,587
	Applicant	Visco et al.
	Filing Date	April 14, 2004
	Group	1745
		Page 1 of 1



U.S. Patent Documents

Examiner Initial	No.	Patent No.	Date	Patentee	Class	Sub-class	Filing Date
	A.						
	B.						
	C.						
	D.						

Foreign Patent or Published Foreign Patent Application

Examiner Initial		Document No.	Publication Date	Country or Patent Office	Class	Sub-Class	Translation	
							Yes	No
	E.							

Other Documents

Examiner Initial	No.	Author, Title, Place (e.g. Journal) of Publication, Date
MC	F.	US patent application serial No. 10/686,189, Office Action mailed April 11, 2007. (Docket No. PLUSP027)
	G.	
	H.	
	I.	
	J.	
	K.	
	L.	
	M.	
	N.	
	O.	
	P.	
	Q.	
	R.	

Examiner <i>MC</i>	Date Considered <i>6/18/07</i>
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Form 1449 (Modified)	Atty Docket No. PLUSP038	Application No.: 10/825,587
Information Disclosure Statement By Applicant	Applicant: Visco, et al.	
(Use Several Sheets if Necessary)	Filing Date April 14, 2004	Group 1745

U.S. Patent Documents

Examiner Initial	No.	Patent No.	Date	Patentee	Class	Sub- class	Filing Date

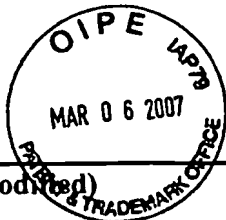
Foreign Patent or Published Foreign Patent Application

Examiner Initial	No.	Document No.	Publication Date	Country or Patent Office	Class	Sub- class	Translation	
							Yes	No

Other Documents

Examiner Initial	No.	Author, Title, Date, Place (e.g. Journal) of Publication
MC	C1	European Examination Report dated March 21, 2006 from related European Application No. 03809186.4. (PLUSP027EP)
Examiner <i>J. Camb</i>		Date Considered <i>6/18/07</i>

Examiner: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



Form 1449 (Modified) Information Disclosure Statement By Applicant (Use Several Sheets if Necessary)	Atty Docket No. PLUSP038 Applicant: Visco et al. Filing Date April 14, 2004	Application No.: 10/825,587 Group 1745
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U.S. Patent Documents

Examiner Initial	No.	Patent No.	Date	Patentee	Class	Sub-class	Filing Date
	A						
	B						
	C						
	D						
	E						
	F						
	G						

Foreign Patent or Published Foreign Patent Application

Examiner Initial	No.	Document No.	Publication Date	Country or Patent Office	Class	Sub-class	Translation	
							Yes	No
	H							
	I							
	J							
	K							
	L							

Other Documents

Examiner Initial	No.	Author, Title, Date, Place (e.g. Journal) of Publication
GC	M	China patent application No. 2003801061464, First Office Action mailed October 27, 2006.
	N	
	O	
Examiner	Date Considered	
GC	6/18/07	

Examiner: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



Form 1449 (Modified) Information Disclosure Statement By Applicant (Use Several Sheets if Necessary)	Atty Docket No. PLUSP038 Applicant: Visco, et al. Filing Date April 14, 2004	Application No.: 10/825,587 Group 1745
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U.S. Patent Documents

Examiner Initial	No.	Patent No.	Date	Patentee	Class	Sub-class	Filing Date
MC	A1	2002/102465 A1	08.01.02	Chen et al.			
MC	A2	5,213,908	05.25.93	Hagedorn			
MC	A3	3,625,769	07.12.71	Lyall, Arthur E.			
MC	A4	2002/0012846	01.31.02	Skotheim et al.			

Foreign Patent or Published Foreign Patent Application

Examiner Initial	No.	Document No.	Publication Date	Country or Patent Office	Class	Sub-class	Translation	
							Yes	No
MC	B1	09320645	12.12.97	Japan (abstract)				
MC	B2	WO 99/57770	11.11.99	PCT				

Other Documents

Examiner Initial	No.	Author, Title, Date, Place (e.g. Journal) of Publication
MC	C1	International Search Report dated January 16, 2006 from International Application No. PCT/US2004/033361.
MC	C2	Inaguma et al., "High Ionic Conductivity in Lithium Lanthanum Titanate", Solid State Communications, Vol. 86, No. 10, pp. 689-693, 1993.
MC	C3	Kobayashi et al., "All-solid-state lithium secondary battery with ceramic/polymer composite electrolyte", Solid State Ionics 152-153 (2002) 137-142.
Examiner <i>S. Cantu</i>		Date Considered <i>6/18/07</i>

Examiner: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.